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(71) Applicant(s)

Agilent Technologies Inc  
(Incorporated in USA - Delaware)  
395 Page Mill Road, Palo Alto, California 94306-2024,  
United States of America

(72) Inventor(s)

Mark A Anderson  
Brian J Misek

(74) Agent and/or Address for Service

Carpmaels & Ransford  
43 Bloomsbury Square, LONDON, WC1A 2RA,  
United Kingdom

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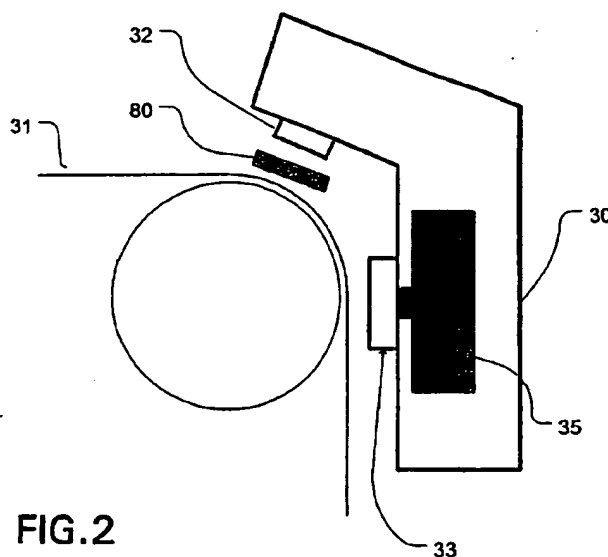
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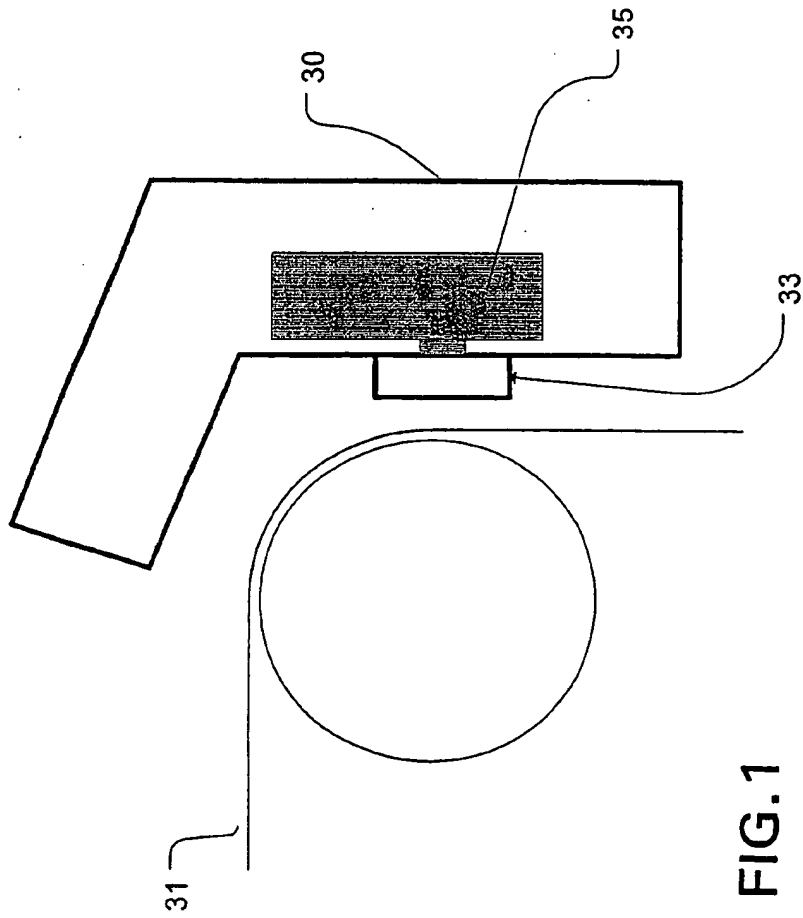
**An optical navigation unit and method for a printer**

(57) A printing device e.g. inkjet, laser or dye-sublimation, includes a print head 33, navigation unit 32 with an optical sensor, for positioning the print head 32, which monitors a navigation surface 80 to provide data for processing to generate a positional signal representative of a moving printer head. The method includes the steps of optically imaging a navigation surface 80 so as to produce a first image output signal; storing the first image output signal in a storage memory; waiting a fixed period of time; optically imaging the navigation surface so as to produce a second output signal; determining the position of the print head in accordance with the first image output signal stored in the memory and the second output signal; and generating a positional output signal.

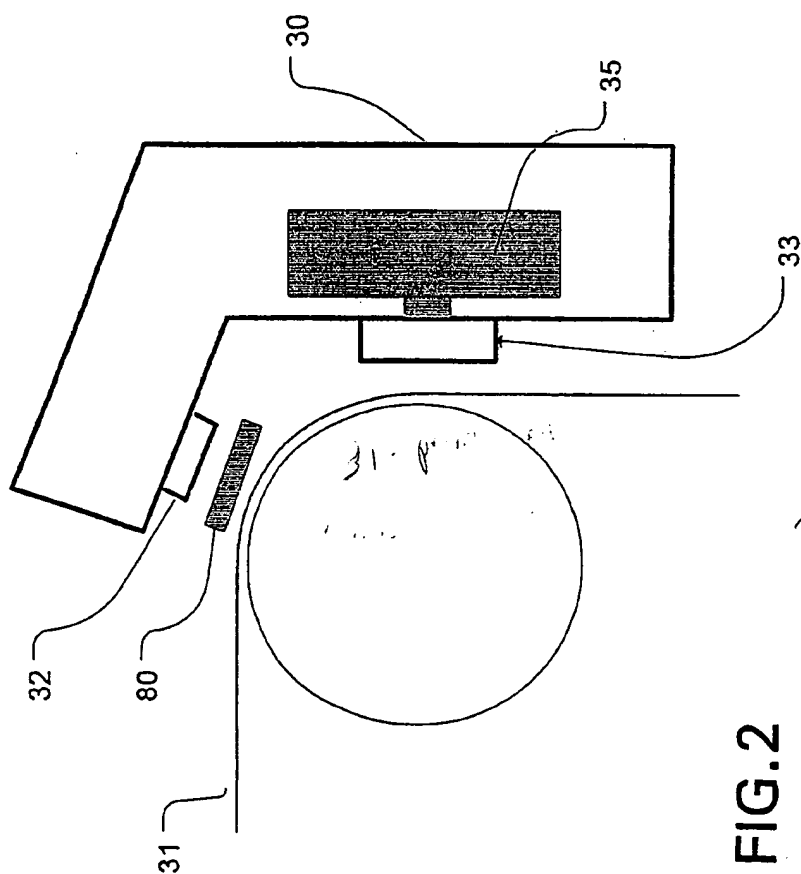


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**FIG. 1**  
**PRIOR ART**



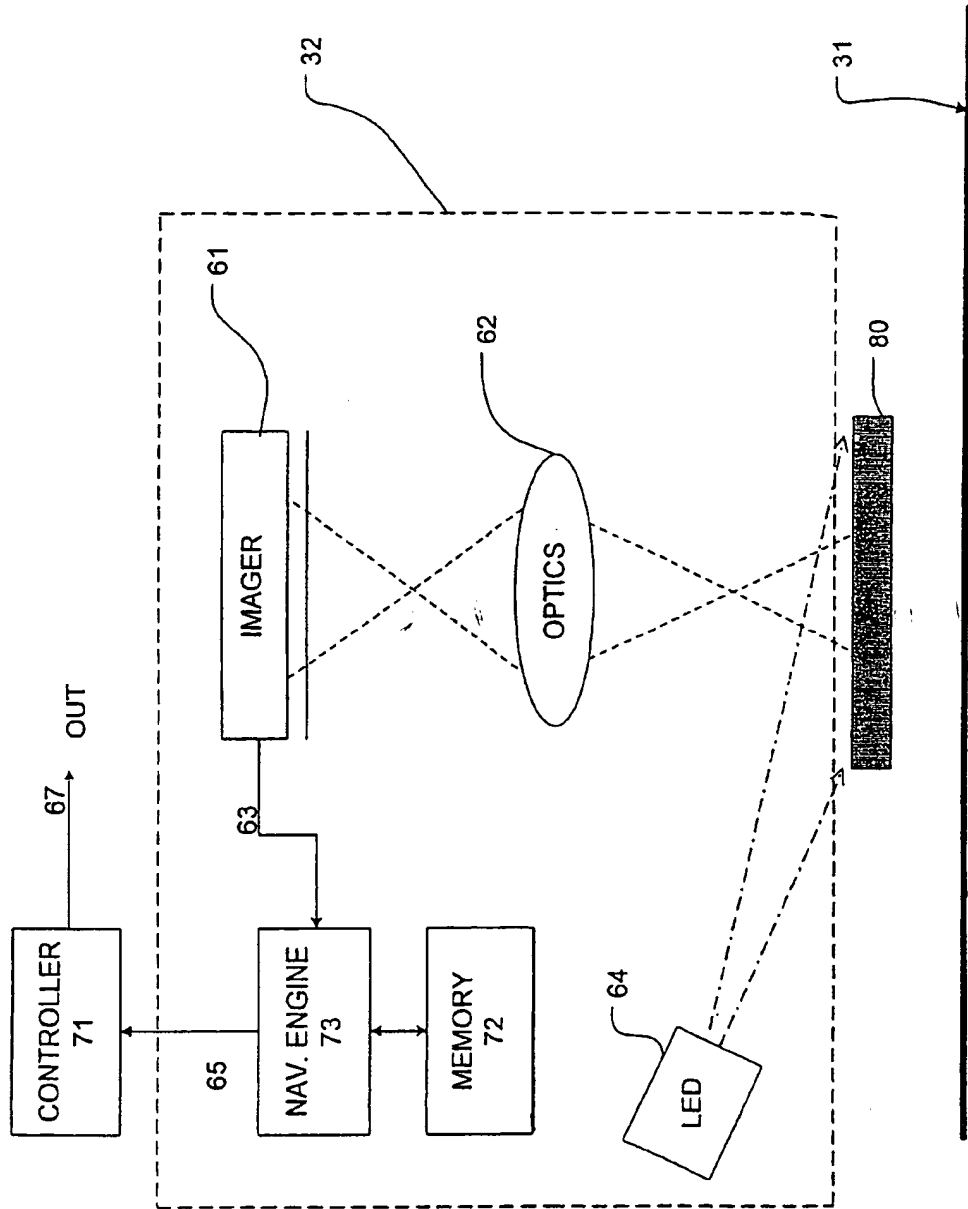


FIG.3

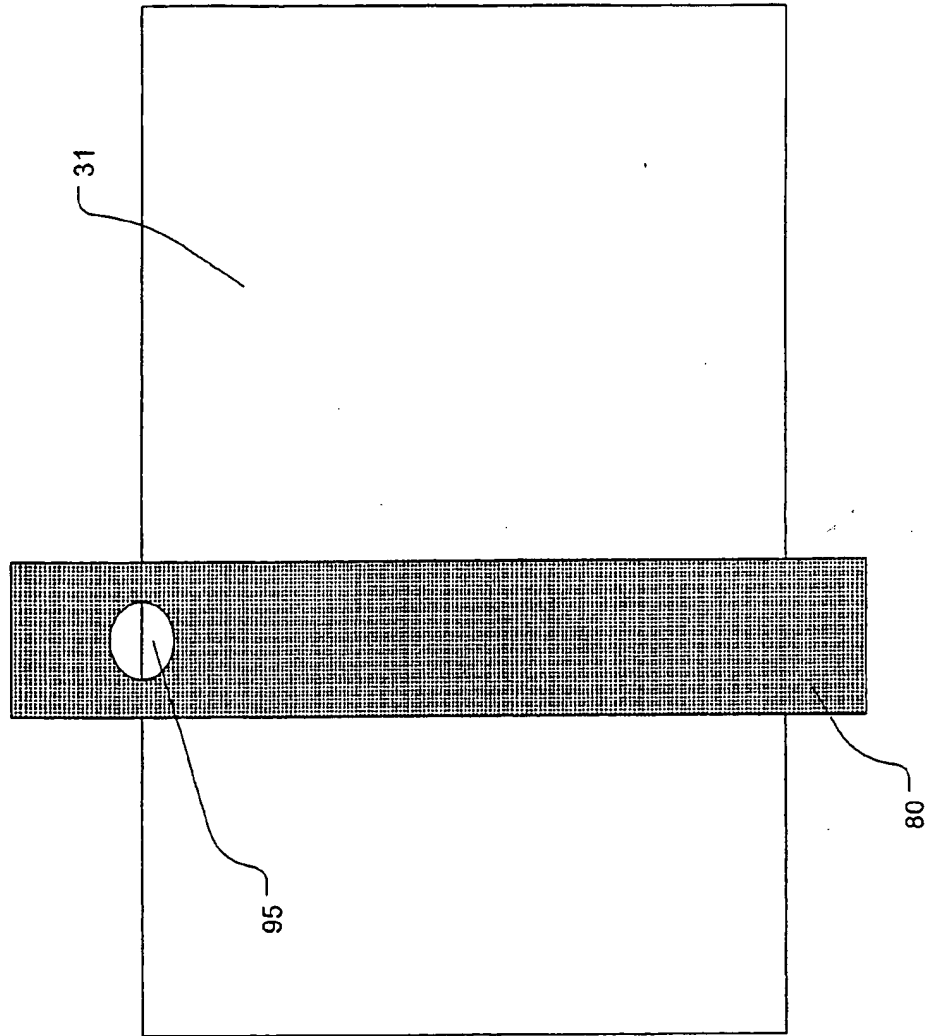
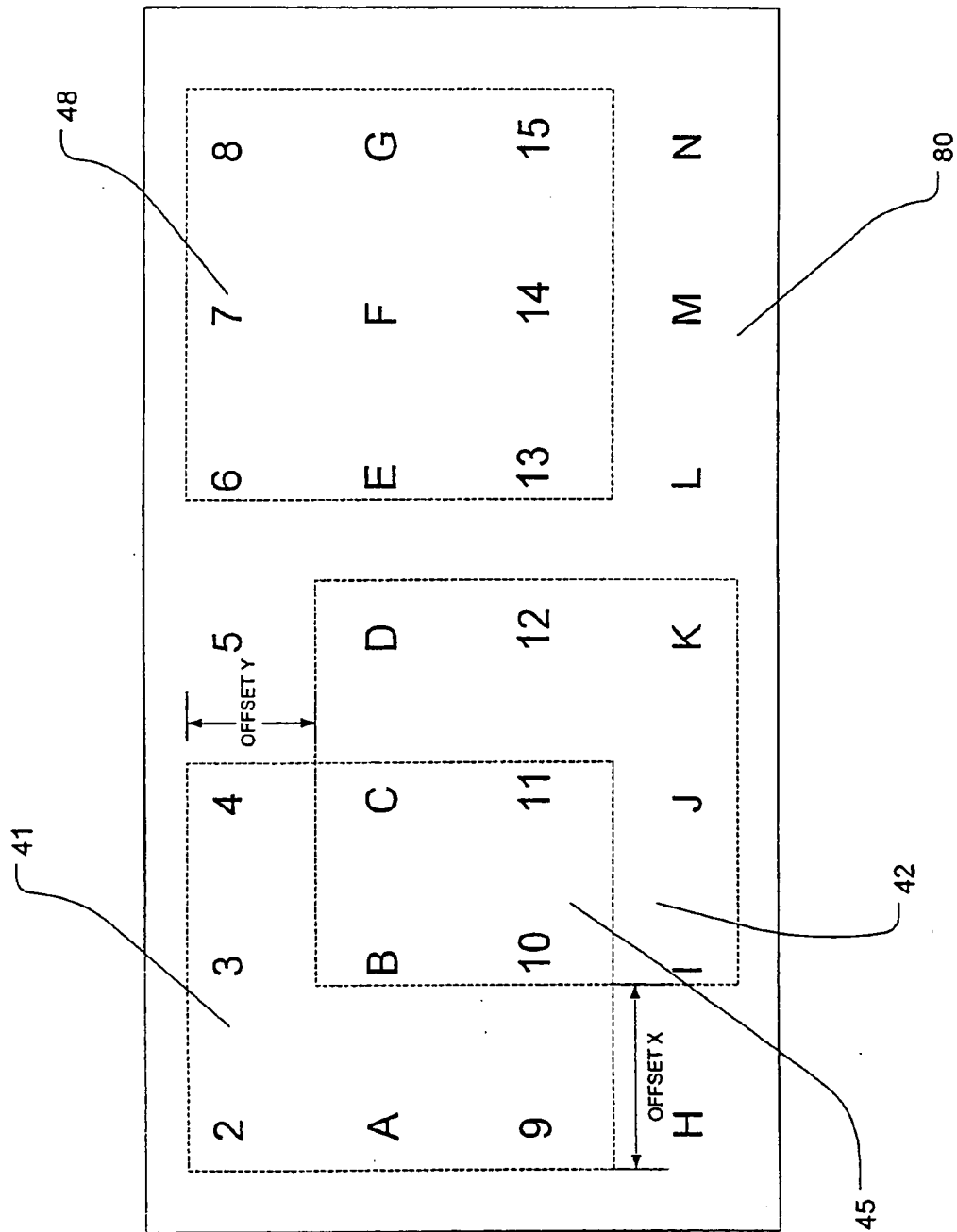


FIG. 4



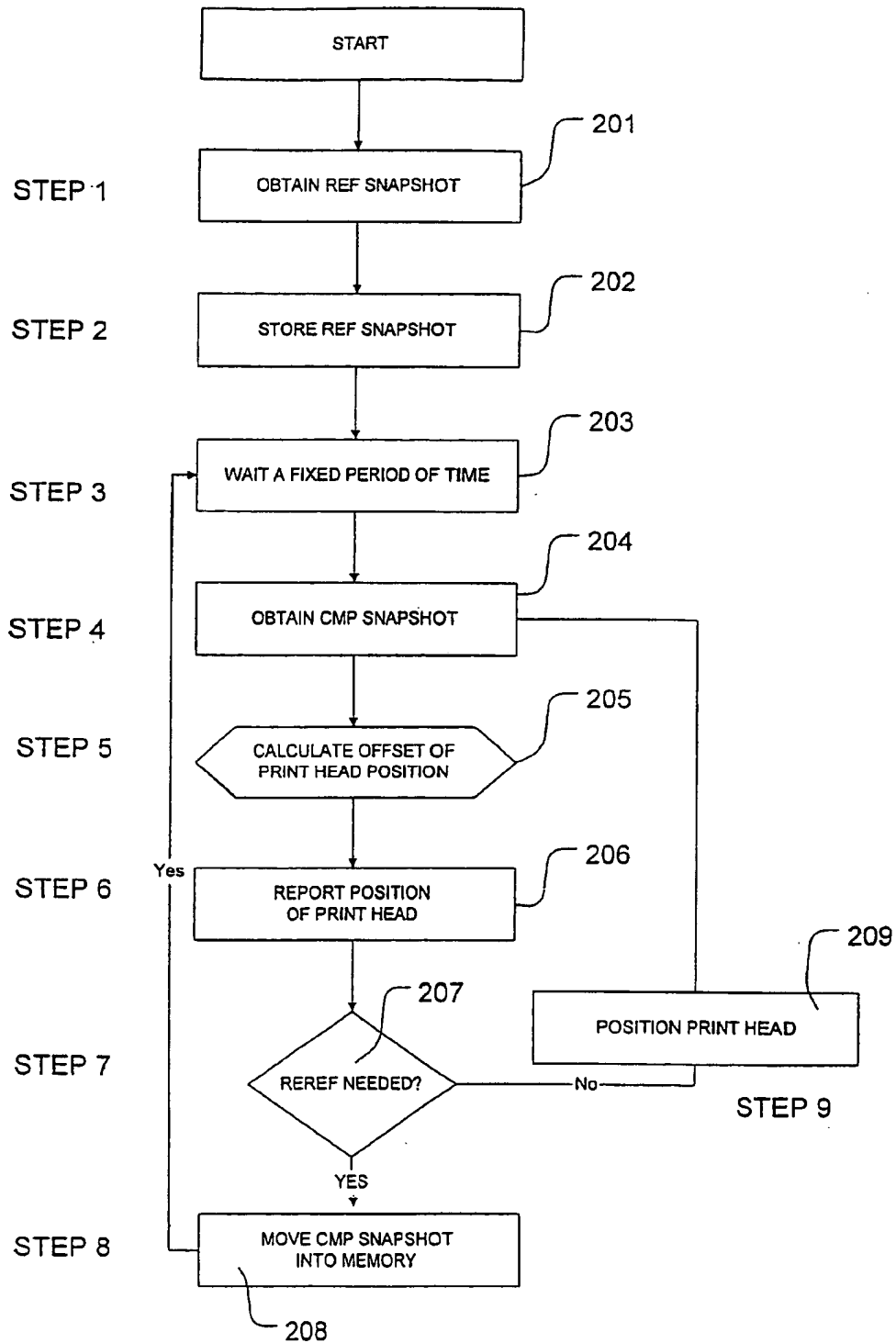


FIG.6

## OPTICAL NAVIGATION SYSTEM AND METHOD

### TECHNICAL FIELD

The present invention relates to an optical navigation system for use in applications requiring accurate positioning of a toolpiece in relation to a workpiece. More particularly, the present invention relates to an optical navigation system for positioning a toolpiece in accordance with a known navigation surface.

### BACKGROUND OF THE INVENTION

In devices which perform operations on a workpiece, it is often necessary to accurately position a toolpiece in relation to the workpiece in order to carryout the desired operations so as to achieve desired results. In operations wherein repeatability is important, the need to accurately control the position of the toolpiece throughout each successive interaction of the operation in relation to the workpiece is very important.

One example of a device that performs operations on a workpiece is a typical printing device, for example, but not limited to, a laser, ink jet, or dye-sublimation printer or the like is shown in FIGURE 1. FIGURE 1 shows a printer cartridge 30, a storage reservoir 35, a print head 33, and a print media 31. These printers perform printing operations by distributing a dye, or pigment, onto a print media such as paper or resin coated substrate.

During the printing process carried out by a typical printing device it is common to move the print head about the surface of a print media in order to deliver pigment, or dyes, to the print media at predetermined locations thereon. In order to achieve desired



and repeatably consistent results, it is necessary for the positioning of the printer head to be accurately controlled.

Known methods of controlling the positioning of the printer head include the use of rotary shaft encoders that monitor the rotation of shafts which feed the print media through the printing device during the printing process, glass etched optical encoders, as well as stepper motors. Unfortunately rotary encoders suffer from mechanical backlash which precludes consistent and accurate control of print head navigation. Glass etched encoders are very expensive and not useful in cost sensitive applications where high resolution printing is desired. Finally, stepper motors suffer from inaccuracies due to loss of step count. Additionally, stepper motors are relatively expensive.

Optical navigation systems have been employed to determine the position of a toolpiece in relation to a work piece, however, because these systems have relied upon a the surface or feature of the print media itself, there were inconsistencies in results. Thus, a heretofore unaddressed need exists in the industry to address the aforementioned deficiencies and inadequacies.

### **SUMMARY OF THE INVENTION**

The present invention provides for a cooperative navigation surface, a navigation unit for optically monitoring the navigation surface, and a navigation engine for generating an output signal representative of the position of a toolpiece. The present invention provides for navigation of a print head for printing onto a print media based upon optical feedback derived from a known cooperative navigation surface, while

printing onto a separate independent surface, or print media. Further, the present invention seeks to provide a navigation unit which tracks movement of the print head in the X direction and movement of the print media in the Y direction. By providing for optical navigation of a known navigation surface, the present invention seeks to avoid errors and inaccuracies that are common with typical optical navigation systems.

The present invention can also be viewed as providing a method for printing on a print media. In this regard, the method can be broadly summarized by the following steps: optically monitoring a cooperative navigation surface and generating an image output signal in accordance therewith; and delivering a pigment to a print media in accordance with said image output signal.

### **DESCRIPTION OF THE DRAWINGS**

The invention can be better understood with reference to the following drawings. The components in the drawings are not necessarily to scale, emphasis instead being placed upon clearly illustrating the principles of the present invention. Moreover, in the drawings, like reference numerals designate corresponding parts throughout the several views.

**FIGURE 1** is an illustration describing the prior art;

**FIGURE 2** is an illustration describing one embodiment of the present invention;

**FIGURE 3** is a diagram illustrating a navigation unit;

**FIGURE 4** is an illustration describing a navigation surface in relation to a print media;

FIGURE 5 is an illustration describing cross-correlation between reference and comparison snapshots captured by a navigation unit; and

FIGURE 6 is a flow chart describing the method of the present invention.

### **DETAILED DESCRIPTION**

Reference will now be made in detail to the description of the invention as illustrated in the drawings. While the invention will be described in connection with these drawings, there is no intent to limit it to the embodiment or embodiments disclosed therein. On the contrary, the intent is to cover all alternatives, modifications, and equivalents included within the spirit and scope of the invention as defined by the appended claims.

With reference to FIGURE 2 there is shown a printer cartridge 30, which is arranged adjacent to a paper path (or work path) which receives a print media 31. Printer cartridge 30 incorporates a printer head 33 and a navigation unit 32. With reference to FIGURE 3, navigation unit 32 can be seen to include an imager 61 and an optical system 62 for focusing light reflected from print media 31 on to imager 61. Illumination of the print media 31 is provided by light source 64. Imager 61 is preferably a complementary metallic-oxide semiconductor (CMOS) photo imager, however, other imaging devices such as a charge coupled-device (CCD), photo diode array or photo transistor array may also be used. Light from light source 64 is reflected from print media 31 and on to imager 61 via optical system 62. Light source 64 as shown on FIGURE 6 is a light emitting diode (LED). However, other light sources can also be utilized including, for example, incandescent or fluorescent light sources. Additionally, it is possible for

ambient light sources external to navigation unit 32 to be utilized provided such light level is sufficient to meet the sensitivity threshold requirements of the Imager 61. Imager 61 generates a signal 63, or snapshot, at a predetermined rate, representative of that portion of the navigation surface 80 that is currently being monitored, or imaged by imager 61. This output signal 63 is delivered to a navigation engine 73 and may be stored into memory 72. The navigation engine converts raw image data into positional information that is delivered to the controller 71. Navigation engine 73 generates positional signal 66 and outputs it to controller 71. Controller 71 subsequently generates an output signal 65 that can be used to position the print head 33. It should be noted that navigation engine 73, and memory 72, could be configured as an integral part of navigation unit 32. Further navigation engine 73 could be implemented as, for example, but not limited, a dedicated digital signal processor (DSP); an application specific integrated circuit (ASIC) or a combination of logic gates.

FIGURE 6 is a flow chart illustrating the method of the present invention. The print head 33 is positioned. A reference snap shot (REF) of navigation surface 80 is obtained via navigation unit 32 (201). The reference snap shot (REF) is stored into memory 72 (202). After a fixed period of time has elapsed (203), a comparison snap shot (CMP) of navigation surface 80 is obtained via navigation unit 32 (204). Navigation engine 73 calculates the position of print head 33 via cross correlating reference snap shot (REF) with comparison snapshot (CMP) (205). The position of print head 33 is then reported to the controller 71 in accordance with the calculations of 205 (206). A determination is made as to whether or not the print head 33 has moved more than a predetermined distance (or to a point where CMP and REF snapshots share less than a

predetermined area of commonality) since the reference snapshot (REF) was obtained (207). If it is determined in 207 that the print head 33 has moved more than a predetermined distance ("out of bounds"), the comparison snapshot (CMP) obtained in 206 is stored to memory 72 and becomes the new REF snapshot (208). Print head 33 can then be re-positioned in accordance with the position reported to controller 71 at 206 (209).

Navigation surface 80 preferably has a cooperative texture, or pattern, along all surface areas that will be monitored by imager 61 of navigation unit 32. It should be noted, however, that the surface texture of navigation surface 80 could also be of a random nature.

FIGURE 5 shows a navigation surface 80, which has a distinct surface characteristic, or pattern. In this example, the pattern is represented by alpha-numeric characters to ease explanation, as the alpha-numeric characters are more easily distinguishable for purposes of discussion. However, such alpha-numeric characters could in fact be used as an effective navigation surface pattern as contemplated by the present invention.

With reference to FIGURE 4, it can be seen that one embodiment of the present invention requires that observation window 95, be formed in navigation surface 80 so that navigation unit 32, and more specifically imager 61, can visually monitor the position of print media 31. As the print media 31 is in a different plane than the navigation surface 80, it may be desirable to provide for an optics systems, or lens, in the observation window 95 to compensate for this difference and allow imager 61 to accurately image the print media 31 and the navigation surface 80.

With reference to FIGURE 5, there is shown a first, or reference (REF) snapshot (REF) 41; a second, or comparison (CMP) snapshot (CMP) 42; and an area of commonality 45. Each snapshot, REF 41 and CMP 42, represents a predetermined area, for example, an area  $1\text{mm}^2$ .

During the printing process, navigation unit 32 obtains a first snap shot (image) of the navigation surface 80 as a reference snapshot (REF). This reference-snapshot data (REF) is stored into memory 72. After a fixed amount of time, a second snapshot of the navigation surface 80 is obtained by the navigation unit 32 as comparison (CMP) snapshot 42. Data representing CMP 42 is then compared by navigation engine 73 with data representing REF 41, which is stored in memory 72 to determine the present position of print head 33. More particularly, CMP data is mathematically cross-correlated with REF image data stored in memory 72 so as to determine the offset, in both the X and Y direction, between the first (REF) snapshot 41 and the second (CMP) snapshot 42. Cross correlation calculations may be carried out as follows:

$$C_{i,j} = \sum_{m=1}^M \sum_{n=1}^N |r_{m,n} - c_{m-i,n-j}|$$

where  $r_{m,n}$  and  $c_{m,n}$  represent REF and CMP snapshots at point  $\{m,n\}$  and  $i$  and  $j$  represent the offset between REF and CMP snapshots.

The area of the navigation surface 80 that is the subject of each snapshot is typically substantially less than the overall size (area) of the navigation surface 80. As the print head 33 moves, so does the navigation unit 32 in relation to the navigation surface 80. In order for cross-correlation calculations to be effectively carried out, it is important that details of the navigation surface 80 contained in the REF snapshot have

some commonality (area of commonality 45) with details of the navigation surface 80 contained in the second CMP snapshot. In other words, the distance traveled by navigation unit 32 between the point at which the REF snapshot is obtained and the point at which the CMP snapshot is obtained cannot be so great that the details contained in the CMP snapshot totally lack commonality with the REF snapshot. If this condition occurs, the print head 33 will become "lost" or "out of bounds." In order for navigation to function smoothly it is necessary for the CMP snapshot of the navigation surface to be taken so as to include at least some portion of the navigation surface details that also appear in the first REF snapshot image.

As movement of the print head/navigation unit progresses, the overlap (or area of commonality) between the CMP and REF snapshots decreases. In the extreme case, there would be no overlap (area of commonality) between the CMP and REF images, as is exemplified in FIGURE 5 by REF snapshot 41 and snapshot 48. In this case, the navigation unit 32 would become "lost" and be unable to properly report the position<sup>of</sup> the print head 33. However, in the present invention as the area of commonality between the CMP snapshot and the REF snapshot decreases to a predetermined area, or percentage, of commonality (overlap), the CMP snapshot is copied into storage memory 72 to thus become the new REF snapshot. This procedure is called a re-referencing procedure or REREF. Immediately, subsequent to a REREF, the CMP snapshot and REF snapshot are the same, meaning that there is complete or total overlap (commonality) for cross-correlation purposes.

An alternate to the above procedure involves obtaining a cumulative snapshot of the entire navigation surface 80 via taking a series of snapshots of the navigation surface

at various points along the navigation surface and storing the data into memory 72. This stored data cumulatively represents the entire navigation surface (cumulative snapshot), or a selected portion thereof. Subsequently, as print head 33 is positioned, and repositioned during the printing process, comparison snapshots (CMP) are taken of the navigation surface and compared with the cumulative snapshot data stored in memory 72. This alternative does require increased hardware, specifically memory. To accommodate the increased snapshot data size. However, it eliminates the possibility that cross-correlation calculations cannot be carried out where the CMP snapshot shares no commonality of details with the REF snapshot (where the print head 33 gets lost), since all details of the navigation surface 80 are stored in memory 72.

The present invention is described herein via the example of a printing device. It will be recognized by persons skilled in the art that the present invention is equally applicable to scanning devices such as a photographic or flat-bed paper scanners. Further the present invention can be implemented in automated factory or manufacturing equipment and the like wherein assembly operations are carried out. Additionally, The present invention is also applicable to medical applications, such as diagnostic imaging, or control of surgical instrumentation.

The foregoing description has been presented for purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise forms disclosed herein. Obvious modifications or variations are possible in light of the above teachings. The embodiment or embodiments discussed herein were chosen and described to provide the best illustration of the principles of the invention and its practical application to thereby enable one of ordinary skill in the art to utilize the invention in



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various embodiments and with various modifications as are suited to the particular use contemplated. All such modifications and variations are within the scope of the invention as determined by the appended claims when interpreted in accordance with the breadth to which they are fairly and legally entitled.

## CLAIMS:

What is claimed:

- 1           1.     A printing device comprising:  
2                 a print head (33) for delivering a pigment to a print media (31); and  
3                 a navigation unit (32) for optically monitoring a cooperative navigation surface (80) and  
4                 generating an image output signal in accordance therewith.
  
- 1           2.     A printing device according to claim 1 wherein said navigation unit (32)  
2                 optically monitors said cooperative navigation surface (80) and generates a second image  
3                 output signal in accordance therewith.
  
- 1           3.     A printing device according to claim 1 further comprising said cooperative  
2                 navigation surface (80).
  
- 1           4.     A printing device according to claim 2 further comprising memory storage  
2                 (72) for storing said image output signal.
  
- 1           5.     A printing device according to claim 4 further comprising a navigation  
2                 engine (73) for determining the position of said print head in accordance with said image  
3                 output signal stored in said storage memory (72) and said second image output signal and  
4                 generating a positional output signal (65).

1           6.     A printing device according to claim 5 further comprising a controller (71)  
2     for controlling positioning of said print head in accordance with said positional output  
3     signal (65).

1           7.     A printing device according to claim 1 further comprising a print media  
2     path for receiving said print media.

1           8.     A printing device according to claim 1 wherein said cooperative  
2     navigation surface (80) comprises an observation window (95) through which print media  
3     (31) is visible.

1           9.     A method of printing onto a print media (31) comprising the steps of:  
2             optically imaging a navigation surface (80) so as to produce a first image output  
3     signal (201);  
4             storing said first image output signal into a storage memory (202);  
5             waiting a fixed period of time (203);  
6             optically imaging said navigation surface so as to produce a second image output  
7     signal (204); and  
8             determining the position of said print head in accordance with said first image  
9     output signal stored in said storage memory and said second image output signal (205);  
10     and  
11             generate a positional output signal (206).

- 1           10.    A method of printing onto a print media according to claim 10 further
- 2    comprising the step of positioning said print head (33) in relation to said print media (31)
- 3    in accordance with said positional output signal (209).



Application No: GB 0027407.6  
Claims searched: 1-10

Examiner: David Glover  
Date of search: 21 March 2001

**Patents Act 1977**  
**Search Report under Section 17**

**Databases searched:**

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:

UK CI (Ed.S): B6F (FJA, FJB, FJH, FJX)

Int CI (Ed.7): B41J 2/235, 19/20

Other: Online: EPODOC, JAPIO, WPI

**Documents considered to be relevant:**

Category	Identity of document and relevant passage	Relevant to claims
X	EP 0107501 A (Sanders) see page 5 lines 3-19	1, 7
X	US 5116150 (Apple Computers) see column 2 lines 25-60	1-11

X	Document indicating lack of novelty or inventive step	A	Document indicating technological background and/or state of the art
Y	Document indicating lack of inventive step if combined with one or more other documents of same category.	P	Document published on or after the declared priority date but before the filing date of this invention.
&	Member of the same patent family	E	Patent document published on or after, but with priority date earlier than, the filing date of this application.